

REPORT OF THE DIRECTOR OF CORPORATE SERVICES
POLICY & RESOURCES SCRUTINY - 6th December 2017
COUNCIL'S BUDGET MONITORING REPORT 2017/18 as at 31st August 2017

Director and Designation	Author & Designation	Telephone No	Directorate
C Moore Director of Corporate Services	C Moore, Director of Corporate Services	01267 224120	Corporate Services

Table 1

Forecasted for year to 31st August 2017

Department	Working Budget				Forecasted				Aug 17 Forecasted Variance for Year £'000	Jun 17 Forecasted Variance for Year £'000
	Controllable	Controllable	Net Non	Total	Controllable	Controllable	Net Non	Total		
	Expenditure	Income	Controllable	Net	Expenditure	Income	Controllable	Net		
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000		
Chief Executive	24,086	-7,975	-3,192	12,919	25,026	-8,483	-3,192	13,351	431	555
Communities	129,279	-49,097	9,706	89,888	130,500	-49,324	9,705	90,882	994	950
Corporate Services	76,119	-51,067	-1,526	23,526	78,931	-54,100	-1,526	23,305	-221	-208
Education & Children	157,449	-19,300	22,876	161,024	167,507	-28,266	22,876	162,116	1,092	1,389
Environment	127,168	-86,903	8,808	49,073	122,726	-81,928	8,808	49,606	533	513
Departmental Expenditure	514,101	-214,342	36,672	336,430	524,689	-222,101	36,671	339,259	2,829	3,198
Capital Charges/Interest				-11,517				-12,217	-700	-500
Levies and Contributions:										
Brecon Beacons National Park				138				138	0	0
Mid & West Wales Fire & Rescue Authority				9,349				9,349	0	0
Net Expenditure				334,400				336,529	2,129	2,698
Transfer from Balances/Earmarked Reserves				-200				-200	0	0
Transfers to/from Departmental Reserves										
- Corporate Services				0				110	110	104
- Environment				0				-533	-533	-513
Net Budget				334,200				335,906	1,706	2,289

**Chief Executive Department
Budget Monitoring as at 31st August 2017**

Division	Working Budget				Forecasted				Aug 17 Forecasted Variance for Year £'000	Jun 17 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000		
Chief Executive	-284	0	-322	-606	226	0	-322	-95	511	484
People Management & Performance	3,714	-1,072	-2,375	267	3,798	-1,221	-2,375	203	-65	0
Admin and Law	3,919	-550	1,094	4,463	3,875	-523	1,094	4,445	-18	-81
ICT	4,479	-826	-3,823	-169	4,499	-845	-3,823	-169	0	0
Major Projects	101	-76	0	25	400	-375	0	25	0	-0
Regen, Policy & Property										
Policy	5,162	-1,169	-1,748	2,245	5,268	-1,264	-1,749	2,256	11	141
Statutory Services	1,107	-2	141	1,246	1,395	-306	141	1,230	-16	17
Property	1,111	-1,269	157	-0	1,114	-1,255	157	17	17	-7
Regeneration	4,778	-3,013	3,683	5,449	4,451	-2,694	3,683	5,440	-9	1
GRAND TOTAL	24,086	-7,975	-3,192	12,919	25,026	-8,483	-3,192	13,351	431	555

Chief Executive Department - Budget Monitoring as at 31st August 2017

Main Variances

Division	Working Budget		Forecasted		Aug 17 Variance for Year £'000	Notes	Jun 17 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Expenditure £'000	Income £'000			
Chief Executive							
Corporate Savings Target	-584	0	-75	0	509	Efficiency proposals not yet delivered (Standby £224k and Health & Safety £285k)	509
People Management & Performance							
Fitness For Work	808	-352	856	-464	-65	Part year vacant posts	33
Admin and Law							
Democratic	1,684	0	1,699	0	14	Increase in web casting costs	0
Land Charges Administration	81	-282	81	-270	12	Inflation increase applied to budget but fees are set therefore income target not achievable	12
Corporate Serv-Democratic	504	0	470	0	-34	Part year vacant posts	-35
Corporate Serv-Legal	1,522	-268	1,490	-253	-17	Part year vacant posts	-58
ICT							
Information Technology	3,426	-455	3,525	-515	40	Reduction in Fire SLA	39
Central Telephone Network	1,053	-370	974	-331	-40	Savings on supplies & services	-39
Regeneration, Policy & Property							
Policy							
Performance Management	566	-50	503	-20	-32	Vacant post	4
Chief Executive-Policy	527	-63	529	-18	46	Income target not achievable	144
Customer Services Centres	1,092	-294	1,030	-295	-63	Vacant posts	-1
Safeguarding & Counter-Terrorism	0	0	85	0	85	Unfunded post	0
Statutory Services							
Electoral Services - Staff	265	0	250	0	-15	Vacant post	-0
Property							
Commercial Property - Chief Executives	29	-404	40	-400	16	Slight shortfall in income	0
Regeneration							
West Wales European Centre	425	-315	280	-143	26	Overspend due to projected non-achievement of income target	-0
Physical Regeneration	458	0	410	0	-48	Underspend due to staff vacancies	-0

Chief Executive Department - Budget Monitoring as at 31st August 2017
Main Variances

Division	Working Budget		Forecasted		Aug 17	Notes	Jun 17
	Expenditure	Income	Expenditure	Income	Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
UN Sir Gar	165	-125	140	-55	45	Overspend due to projected non-achievement of income target	0
Business Services	314	0	281	0	-33	Underspend due to staff vacancies	-3
Other Variances					-17		-51
Grand Total					431		555

Department for Communities
Budget Monitoring as at 31st August 2017

Division	Working Budget				Forecasted				Aug 17 Forecasted Variance for Year £'000	Jun 17 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000		
Adult Services										
Older People	51,372	-17,885	2,216	35,703	51,378	-17,555	2,216	36,040	337	340
Physical Disabilities	6,100	-732	74	5,442	6,140	-731	74	5,482	40	-0
Learning Disabilities	30,733	-8,534	1,199	23,398	31,378	-8,566	1,199	24,011	612	625
Mental Health	9,347	-3,454	125	6,018	9,353	-3,460	125	6,018	0	-1
Support	4,910	-1,740	799	3,969	5,186	-2,011	799	3,974	4	-14
Public Protection & CF Housing										
Public Protection	2,968	-712	535	2,792	2,954	-703	535	2,785	-7	0
Council Fund Housing	8,931	-8,124	294	1,101	9,324	-8,510	294	1,108	7	-0
Leisure & Recreation										
Leisure & Recreation	14,917	-7,916	4,464	11,465	14,787	-7,787	4,464	11,464	-0	-0
GRAND TOTAL	129,279	-49,097	9,706	89,888	130,500	-49,324	9,705	90,882	994	950

Department for Communities - Budget Monitoring as at 31st August 2017

Main Variances

Division	Working Budget		Forecasted		Aug 17 Variance for Year £'000	Notes	Jun 17 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Expenditure £'000	Income £'000			
Adult Services							
Older People							
Older People - Private/ Vol Homes	19,022	-8,851	18,963	-8,715	77	Increase in cost pressures / yet to meet budget reductions	200
Older People - Private Home Care	9,210	-2,201	9,300	-2,201	90	Increase in cost pressures / yet to meet budget reductions	133
Older People - Careline	1,128	-1,394	1,106	-1,204	168	Additional staffing costs in respect of new Information, Advice & Assistance requirement of SSWBA; reduction n income due to loss of contract with another local authority.	-0
Physical Disabilities							
Phys Dis - Group Homes/Supported Living	1,391	-118	1,432	-118	41	Increase in cost pressures / yet to meet budget reductions	-0
Learning Disabilities							
Learn Dis - Private/Vol Homes	9,828	-3,232	10,263	-3,445	223	Increase in cost pressures / yet to meet budget reductions	255
Learn Dis - Group Homes/Supported Living	5,945	-1,007	6,173	-1,007	227	Increase in cost pressures / yet to meet budget reductions	200
Learn Dis - Day Services	3,107	-262	3,266	-260	161	Increase in cost pressures / yet to meet budget reductions	172
Other Variances - Adult Services					6		-9
Public Protection							
Diseases Of Animals	33	-2	33	-15	-14	Overachievement of income - re. survey work	-14
Fair Trading	137	-14	137	-27	-13	Overachievement of income - court fees	-12
Safety	65	-19	69	-11	11	General underachievement of income - court fees	11
Other Variances - Public Protection					9		15
Council Fund Housing							
Home Improvement (Non HRA)	475	-301	456	-260	22	Underachievement of licence fee income due to changes in licencing laws	20
Homelessness	161	-64	138	-60	-19	Underspend in Homelessness prevention payments covering the underachievement of licence fee income in Home Improvement	-26
Other Variances - Council Fund Housing					4		6

Department for Communities - Budget Monitoring as at 31st August 2017
Main Variances

Division	Working Budget		Forecasted		Aug 17	Notes	Jun 17
	Expenditure	Income	Expenditure	Income	Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Leisure & Recreation							
Pembrey Ski Slope	291	-253	328	-330	-41	Overachieving income re new catering outlet	-0
Carmarthen Leisure Centre	1,212	-1,209	1,194	-1,159	31	Underachieving income	8
Amman Valley Leisure Centre	695	-554	691	-626	-76	Increased income forecast from Gym/Swim	-61
Sport & Leisure General	825	-59	850	-59	25	Increased marketing has resulted in an increase of £14k Printing and£11k Promotions costs	26
Llanelli Leisure Centre	1,110	-958	1,106	-930	24	Underachieving income	8
Other Variance - Leisure & Recreation					36		20
Grand Total					994		950

Corporate Services Department
Budget Monitoring as at 31st August 2017

Division	Working Budget				Forecasted				Aug 17 Forecasted Variance for Year £'000	Jun 17 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000		
Financial Services	7,871	-3,751	-3,524	596	7,767	-3,739	-3,524	504	-92	-80
Audit Risk & Procurement	1,257	-28	-1,049	179	1,169	-28	-1,049	91	-88	-81
Performance & Development	136	0	-256	-120	138	-0	-256	-118	2	-0
Other Services	66,855	-47,288	3,303	22,870	69,857	-50,332	3,303	22,828	-42	-47
GRAND TOTAL	76,119	-51,067	-1,526	23,526	78,931	-54,100	-1,526	23,305	-221	-208

Corporate Services Department - Budget Monitoring as at 31st August 2017

Main Variances

Division	Working Budget		Forecasted		Aug 17	Notes	Jun 17
	Expenditure	Income	Expenditure	Income	Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Financial Services							
Accountancy	1,665	-302	1,651	-351	-63	Vacant posts	-51
Local Taxation	881	-720	838	-689	-12	Vacant posts	-0
Payments	486	-83	473	-83	-13	Part year vacant post	-10
Audit Risk & Procurement							
Audit	610	-21	510	-21	-101	Part year vacant posts	-48
Risk Management	132	-2	145	-2	14	Maternity cover	2
Other Services							
Audit Fees	373	-86	311	-84	-60	Reduction in grant audit fees	-60
Bank Charges	63	0	52	0	-11	Savings in bank tender in 2013/14	-11
Miscellaneous Services	3,873	-112	3,864	-75	29	Projected overspend due to cost of sales of assets being a charge to revenue	23
Other Variances					-3		-54
Grand Total					-221		-208

Department for Education & Children
Budget Monitoring as at 31st August 2017

Division	Working Budget				Forecasted				Aug 17 Forecasted Variance for Year £'000	Jun 17 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000		
Director & Strategic Management	788	0	-148	640	763	0	-148	615	-25	-54
Education Services Division	117,510	-1,755	19,152	134,907	118,303	-1,911	19,152	135,544	637	742
Strategic Development	9,207	-7,431	881	2,657	9,217	-7,414	881	2,683	26	-37
School Improvement	3,795	-1,469	504	2,830	10,360	-8,033	504	2,832	2	1
Learner Programmes	3,882	-2,776	384	1,490	5,252	-4,000	384	1,637	147	149
Children's Services	22,267	-5,870	2,103	18,501	23,611	-6,908	2,103	18,806	305	588
GRAND TOTAL	157,449	-19,300	22,876	161,024	167,507	-28,266	22,876	162,116	1,092	1,389

Department for Education & Children - Budget Monitoring as at 31st August 2017

Main Variances

Division	Working Budget		Forecasted		Aug 17 Variance for Year £'000	Notes	Jun 17 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Expenditure £'000	Income £'000			
Director & Strategic Management							
Director & Management Team	788	0	763	0	-25	Part year vacant post	-54
Education Services Division							
School Redundancy & EVR	1,838	0	2,095	0	258	Budget utilised on existing commitments. A cross-departmental team is drawing together different strands of work with the aim of reducing costs	217
School Modernisation	93	-5	427	-10	330	Premises costs relating to a number of closed schools of which £256k relates to NNDR	314
Early Years Non-Maintained Provision	469	0	396	0	-73	Reduced take-up of 10 hours per week free entitlement for 3 year olds in non-maintained settings.	-52
Special Educational Needs	2,912	-1,484	3,013	-1,418	166	Additional statementing costs £280k, increased staffing costs in attached units to alleviate waiting list pressures £25k offset by fewer pupils placed in out of county schools -£64k. Education Improvement Grant, Pupil centred Planning and Additional Learning Needs (ALN) Innovation grant offsetting salary costs -£75k.	268
Education Other Than At School (EOTAS)	2,028	-266	2,076	-301	13	Increased cost of home tutors due to more pupils receiving home tuition £91k offset by an increased number of pupils attending Pupil Referral Units and Rhydygors Day Centre resulting in additional recoupment from schools £-78k	-7
Sensory Impairment	363	0	347	0	-16	In year efficiencies within service	-15
Educational Psychology	900	0	1,048	-181	-34	Part year vacant posts	5
Strategic Development							
Business Support	448	0	420	0	-28	Part year vacant posts	-18
School Meals & Primary Free Breakfast Services	7,968	-7,151	7,936	-7,052	68	Sales in the summer term are lower than that predicted, and lower than the same period in the previous two years.	0
Learner Programmes							
Music Services for Schools	99	0	1,249	-949	201	Reduced take-up of school Service Level Agreements (SLA), due to school budgetary pressures.	203
Behaviour Management	141	0	88	0	-53	Part year vacant post for Behaviour and Wellbeing manager	-53

Department for Education & Children - Budget Monitoring as at 31st August 2017
Main Variances

Division	Working Budget		Forecasted		Aug 17 Variance for Year £'000	Notes	Jun 17 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Expenditure £'000	Income £'000			
Children's Services							
Commissioning and Social Work	6,488	-20	6,647	-204	-25	High Legal costs projected due to a high number of cases £198k however this has reduced from June due to a case now not going to High Court. This is offset by secondment and part year vacancy savings -£223k.	104
Fostering Services & Support	3,653	0	3,831	0	178	The taxi's budget faces ongoing pressure £54k due to the high number of placement moves, some away from school areas. The Fostering Support Team have increased transport costs, boarding out payments and residence orders (even though CCC current rates are set at the minimum allowed by Welsh Government) £194k. This is offset by part year vacancies in the Fostering Team -£70k.	211
Out of County Placements	739	-54	875	-54	136	More use of independent fostering agencies that are more expensive to use due to a lack of in house foster placements including two young people being accommodated out of county due to their complex needs requiring 24 hour support.	204
Adoption Services	509	-56	630	-152	25	Additional staff resource to reduce the number of placements needing to be purchased at greater cost which reduces budget pressure in other areas.	12
Short Breaks and Direct Payments	568	-79	549	-79	-19	Reduced take up of Direct Payments scheme -£9k, Discontinuation of the Giant Steps service -£10k	39
Flying Start Grant	3,542	-3,536	3,554	-3,536	12	Ineligible costs such as audit fees	12
Family Aide Services	224	0	154	0	-70	Part year vacant posts	-53
Children's Services Mgt & Support (incl Care First)	946	-76	1,001	-148	-18	Support team savings on supplies & services	-31
Garreglwyd Residential Unit	541	-159	662	-221	60	Additional staffing costs to cover periods of sickness and a young person being moved in which reduces the out of county placement costs.	35
Education Welfare	387	0	474	-74	12	Staffing levels are currently being reviewed with a view to reduce costs.	33
Other Variances					-4		16
Grand Total					1,092		1,389

Environment Department
Budget Monitoring as at 31st August 2017

Division	Working Budget				Forecasted				Aug 17 Forecasted Variance for Year £'000	Jun 17 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000		
Business Support & Performance	2,269	-0	36	2,305	2,311	-42	36	2,305	-0	-0
Waste & Environmental Services	24,834	-8,536	1,608	17,907	24,128	-7,829	1,608	17,907	0	0
Highways & Transportation	58,553	-40,590	8,328	26,291	54,051	-35,850	8,328	26,529	238	212
Property	37,839	-35,532	-1,595	712	38,516	-36,306	-1,595	614	-98	-76
Planning	3,673	-2,245	429	1,858	3,721	-1,901	429	2,250	392	376
GRAND TOTAL	127,168	-86,903	8,808	49,073	122,726	-81,928	8,808	49,606	533	513

Environment Department - Budget Monitoring as at 31st August 2017
Main Variances

Division	Working Budget		Forecasted		Aug 17 Variance for Year £'000	Notes	Jun 17 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Expenditure £'000	Income £'000			
Waste & Environmental Services							
Green Waste Collection	0	0	128	-100	27	The green waste collection service is not yet self-financing	63
Closed Landfill Sites Nantycaws	144	0	129	0	-15	Reduced licence fee premiums from NRW and reduced operational activity that reflects the reduction in environmental risks associated with leachate control and treatment as a result of the sustained success of the new leachate treatment plant.	-15
Closed Landfill Sites Wernddu	87	0	72	0	-15	As per estimated works to be conducted on site this year – budget review to be undertaken	-16
Highways & Transportation							
Passenger Transport	4,355	-2,957	4,957	-3,601	-41	Tender and service efficiencies.	-36
School Transport	10,392	-1,077	10,443	-1,087	41	Estimated overspend based on an initial assessment of demand.	36
Car Parks	1,772	-3,311	1,792	-3,057	274	£36k Change in John/St Peters short/long delayed due to major works affecting the car park in 2016/17. Unachievable income target as the income target is increased every year but parking fees have not been increased. PCN income also lower than anticipated	263
Public Rights Of Way	237	-11	205	-17	-38	Underspend due to vacant posts, recruitment process underway	-72
Property							
Strategic Asset Management Business Unit	580	-80	578	-116	-39	Vacant posts	-38
Industrial Premises	368	-1,290	309	-1,260	-30	Based on high occupancy levels which could vary throughout the year	-28
Livestock Markets	38	-179	62	-225	-23	Dependent on variable turnover rent.	8

Environment Department - Budget Monitoring as at 31st August 2017
Main Variances

Division	Working Budget		Forecasted		Aug 17	Notes	Jun 17
	Expenditure	Income	Expenditure	Income	Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Planning							
Planning Admin Account	327	-3	416	-131	-39	Reduced expenditure (£29k) to partly offset under-achievement of Planning Application Fee income. Also projected over-achievement of Street naming and numbering income. (£10k)	-13
Minerals	259	-122	280	-167	-24	Underspend mainly due to charging out of staff to projects as a 'direct cost'.	-36
Development Management	1,392	-1,251	1,327	-704	482	Ongoing shortfall in income	442
Waste planning monitoring report (E)	25	-25	10	-27	-16	2016/17 underspend was held in reserves, pending WG confirmation that specific outcomes have been achieved and that there will be no clawback of grant. This has now been confirmed, so surplus released back into revenue account.	0
Other Variances					-11		-45
Grand Total					533		513